

ARIZONA GOVERNOR'S COUNCIL ON DEVELOPMENTAL DISABILITIES

Leveraging funds, application and reporting



Connecting the dots



- Public Law 106-402, (The DD Act) indicates Councils engage in advocacy, capacity building and systems change activities
 - Contribute to....coordinated system of services and supports.....to exercise self-determination....be independent, productive...integrated and included in all aspects of community life.....

- Contribute does not mean sustain and fund forever!

What is leveraging?



- Leveraging occurs when one source of funds is used to get a commitment from another funding source.

Sustainability and Leveraging



- Simply stated, sustain means to keep something going meaning - after the Councils financial investment has ended. How many of your projects you previously funded are still in operation today?
- Sustainability and leveraging go hand-in-hand.
- Councils make an investment to address an identified need
- Through the investment, Councils should expect the sub-grantee to address the issue of sustainability – in other words, what happens after your money goes away? Has the sub-grantee planned for non-Council funding?

Why engage in leveraging?



- Increasingly, federal agencies are seeking to ensure that federal grants are an investment in a long-term commitment to change.
- Your Council through the sub-grantees should think about what kind of long-term sustainability strategy makes sense locally.
- The Council sub-grantee initiatives and partnerships may be stronger when the focus from the beginning is about achieving results for people with developmental disabilities – whether or not they receive additional funding.
- As funding availability can ebb and flow, demonstrating a clear vision and strong commitment from sub-grantees to do “whatever it takes” to get results can also help your Council (and sub-grantees) to leverage future funding from both public and private sources as they become available.

What are leveraged funds?



- Dollars leveraged

AIDD refers to the results of an initial investment of Council funds (including time and other resources) to gain a dollar return from other sources. Funds can be either public or private.

- Dollars leveraged are reportable on the Annual Program Performance Report (APPR)

- Note: Leveraged dollars cannot be used as match

Why leverage?



- Leveraging is attractive because:
 - it shows others believe in the initiative
 - It addresses the issue of sustainability
 - Collaboration adds stakeholders to the initiative

Where do leveraged funds come from?



The creative and innovative use of Council dollars can transform local ideas and designs into practical solutions that strengthen communities and the bonds between all people living in the community.

- Private sector – foundations, non-profit, corporate sponsorships, fundraising
- State programs
- Other federal programs – HUD, NIH, EPA,

COUNCIL EXAMPLES AND PROCESS IDEAS

FY 2011 Examples from APPR's

Advocacy and leveraged funds



- The Council successfully advocated requiring private insurance coverage for early intervention services for all young children up to age 3 who have development delays/disabilities and early intervention services for children from infancy up to age 6, who are on the autism spectrum disorder.
- **Dollars leveraged for health services \$160,400.00**

New Mexico replication example

- The DDMI project provided funding to replicate the Taos DD/MI pilot project clinic's successful practices in implementing programs/services for persons with dual diagnosis in local communities. A total of 3 sites have been implemented and have expanded the project to offer treatment in Taos, Farmington/Shiprock and Roswell, which serves Clovis and Carlsbad. 3 clinics were held from June through September 2011 and 12 clients received services. Plans were to establish the DDMI model as a national best practice based on research. The training and utilization of the model is being implemented statewide thru the State Behavioral Health programs. This project is sustained and the task force continues to meet.
- Dollars leveraged for health services \$16,000.00

Process idea for the Council



AZ may want to add a component to the proposal response process: Here is one idea:

Applicants will show how the grant they are pursuing is an investment that can help leverage new resources.

This requires your community to show how it will both keep track of and analyze public and private funding sources to see how they can best be used to support the work.

Process idea



- Another dimension of sustainability that positions sub-grantees as strong applicants for federal funding is the capacity to effectively utilize and maximize current funding. The Council may want the sub-grantee to assess their use of existing resources and how these resources have been focused towards streamlined, results-driven services.

Oklahoma – demonstration leverage



- Oklahoma Early Access Autism Project. OEAAP is a mobile project serving many areas of the state providing early screening, referral and intervention to services in the areas of autism and developmental disabilities.
- Dollars leveraged for health services \$12,561.00

Tennessee collaboration leverage



- Project Open Wide: Through educational and promotional programs announcing the availability of dental healthcare services the clinic has been successful in adding new community based patients from Chattanooga, Knoxville and Jasper Tennessee. Dollars leveraged for health services \$102,303.00

Virginia – classic leveraging

- The goal of the Integrated Dental Services: Building Capability, Capacity & Commitment grant was **to increase community capacity for dental services to individuals w/ DD.**
- Grantee staff acquired a grant from KOVAR to purchase dental equipment to assemble dental outreach toolkits (\$10,305). Also secured was a donation from Lanigan, Ryan, Malcolm & Doyle Accounting Firm to fund most of the cost of sustaining the dental externship program between VCU & NVTC to train senior dental students to treat patients with special needs (\$5,000). A donation was received from the David H. Lawson Foundation to provide additional funding for the dental externship program (\$400). NVTC & Arc of NoVa collaborated with Virginia Department of Health professionals to coordinate a workshop & outreach to train dentists & to provide dental care. Grantee staff supported VDH by providing information & resources gleaned from the Council funded dental services grant.
- VDH was able to coordinate with the Alexandria Health Department to implement & fund the workshop (\$7,000).

Hawaii – Advocacy/Public Policy



- DDC continued to receive funds from the state legislature to implement statewide Donated Dental Services program. Continued participation on the HI Islands Oral Health Task Force; Maui and Kauai Oral Health Task Forces and Tri-County Dental Task Forces to address access to dental services for underserved populations. Advocated for and strongly supported legislation appropriating funding for safety net services for adult dental care services. \$1,200,000

Florida – sustainability with a twist

- The Council led the development of a sustainability plan to identify strategies to preserve the program. 26 stakeholders, including professionals and families of children who had benefited from Part C, united to make recommendations for changes to the program to increase funding, improve cost effectiveness, to identify strategies, and improve program quality.
- The plan is currently being implemented and significant changes to increase the effectiveness of services have shown promising results. The Council created the Save Early Steps campaign and its message reached 3833 members of the public and allowed 30 family members to become active participants in systems advocacy. These efforts significantly contributed to legislators allocating the needed \$7.8M to sustain Part C.
- The Council assisted with the redesign of Part C marketing materials to ensure that accurate information about the eligibility and services of the program was presented to practitioners and families

Florida – public policy leverage



- Council has also been instrumental in working to improve the availability of affordable/accessible dental care for individuals with disabilities and was instrumental in getting a bill passed to require insurance companies to pay for dental treatment when children with disabilities, who have behavioral issues, are sent "out of network" for treatment.
\$159,000

Alaska Advocacy/Public Policy Initiative



- The Council continues to be involved in the Bring the Kids Home initiative; a \$4.1 million investment in in-state services was secured for FY12 (\$2.4 million Trust, \$1.7 million general funds) to help bring home or divert youth from residential psychiatric treatment centers (RPTCs).