

# GRANT OPPORTUNITY: SEXUAL VIOLENCE RESEARCH

# Research on Arizona's Response to Sexual Violence against Children and Adults with Intellectual and Developmental Disabilities

Arizona lacks a clear understanding on how the state's legislation, regulations, and the field of services and supports have prevented and responded to sexual violence towards people with intellectual and developmental disabilities. There is a need to understand the gaps that undermine the health and safety of the I/DD population.

The winning grantee will evaluate the current state system in response to this issue with recommendations on how to advance towards a more coordinated system where sexual violence among people with I/DD is prioritized.

The grant is available to nonprofits, colleges or universities, and for-profits. **The total amount of funding available is \$100,000 over a 12-month period**. This a one-year grant and a single award will be made.

A pre-application conference is scheduled for March 5, 2019 at 10:00 a.m. Arizona Time at our Arizona Developmental Disabilities Planning Council office, located at 3839 North Third Street, Suite 306, Phoenix, Arizona 85012. If unable to attend in person, teleconferencing is available by dialing 1-240-454-0879, Access Code 806-463-229 #.

Grant Release:	Due Date of Applications:
February 12, 2019	April 10, 2019
Pre-Application Conference:	Anticipated Review:
March 5, 2019 at 10:00 a.m. Arizona Time	April/May 2019
Amendment (if any) Posted: March 6, 2019	Anticipated Award: July 2019
Letters of Intent Due (not mandatory): April 1, 2019	Questions? Call (602) 542-8976 or email mcrane@azdes.gov

RFGA#: ADDPC-FFY19-SARSH-001; Research; Sexual Violence/Abuse

APPLICANTS ARE STRONGLY ENCOURAGED TO CAREFULLY READ THE ENTIRE SCOPE OF WORK AND INSTRUCTIONS.

If you have any questions regarding this RFGA, the only person you may contact is Marcella Crane: 602-542-8976, <a href="mailto:mcrane@azdes.gov">mcrane@azdes.gov</a> or by mail to 3839 North Third Street, Suite 306, Phoenix, AZ 85012.

Applicants shall not contact other staff or ADDPC Council members regarding this process.

#### This solicitation is broken into three sections:

Section 1: Scope of Work, pages 1-8

Section 2: Application Forms, pages 9-17

Section 3: Special Instructions, pages 18-21

#### SECTION 1: SCOPE OF WORK

In this section, the Council details the Scope of Work. Please read this section carefully to determine if you're a fit for applying for a grant from the ADDPC.

Title: Research on Arizona's Response to Sexual Violence/Abuse of Children and Adults with I/DD

Part 1

# **Background Information**

The Arizona Developmental Disabilities Planning Council (ADDPC) is fully federally funded and charged with advocacy, capacity building, and systemic change activities that promote inclusion of people with developmental disabilities across the lifespan. Council members are appointed by the Governor and make all funding decisions on which programs to support. A targeted area of interest is sexual violence/abuse of children and adults with intellectual and developmental disabilities (I/DD) in our state.

Sexual violence/abuse is a serious issue in systems of services and support for people with disabilities, with decades of research suggesting an ongoing crisis. Studies find that people with I/DD are more likely to experience sexual violence/abuse than the general population. Although there is an alarmingly high rate of sexual victimization among those with I/DD, only a small fraction of these are reported, and an even smaller fraction of perpetrators are convicted. And

although there have been targeted efforts to address this persistent issue nationally, Arizona lacks a clear understanding on how the state's legislation, regulations, and the field of services and supports have prevented and responded to sexual violence/abuse towards people with I/DD. National data and local conversations reveal significant gaps that undermine the health and safety of the I/DD population.

In response, the ADDPC seeks an evaluation of the current state system in response to this issue with recommendations on how to advance towards a more coordinated system where sexual violence among this population is prioritized. It is important to note that since the incident at Hacienda HealthCare at the end of December, the protection systems in Arizona are in flux. New regulations around sexual abuse prevention will have been introduced. Training protocols may have been established. It is important that the applicant check the ADDPC website for any developments around this issue.

#### Part 2

# **Total Amount Available**

Total Amount Available: \$100,000

- 1. Only one request per applicant shall be submitted.
- 2. The ADDPC has the discretion to make awards for greater or lesser amounts than requested and to negotiate the scope of work and budget with applicants prior to making an award.

# **Number of Funded Applicants**

Single award

# Term of the Contract

The contract period for any approved application is 12 months. The term of the contract shall commence on date of last signature on the Award Notification or date of Award Letter and shall remain in effect as stated, unless terminated, canceled, or extended as otherwise provided herein.

The contract shall not bind nor purport to bind the ADDPC for any contractual commitment in excess of the original contract period. The ADDPC will not offer a contract renewal under this grant solicitation.

# Eligibility

The solicitation is open to the following organizations that can demonstrate expertise and experience in sexual violence/abuse research; higher scores may be offered if the applicant can demonstrate expertise and experience in research among people with I/DD:

- Corporation Nonprofits\* (must submit a 501 (c)(3) determination letter from the IRS, with your application.
- Corporation For-profit
- Unit of Local Government
- Community Colleges or Universities

#### Part 3

# **Definitions**

**Sexual violence/abuse:** Defined by the Center for Disease Control as a sexual act committed against someone without that person's freely given consent. **Sexual violence/abuse** includes:

Completed or attempted forced penetration of a victim

Includes unwanted vaginal, oral, or anal insertion through use of physical force or threats to bring physical harm toward or against the victim.

• Completed or attempted alcohol or drug-facilitated penetration of a victim

Includes unwanted vaginal, oral, or anal insertion when the victim was unable to consent because he or she was too intoxicated (e.g., unconscious, or lack of awareness) through voluntary or involuntary use of alcohol or drugs.

• Completed or attempted forced acts in which a victim is made to penetrate someone

Includes situations when the victim was made, or there was an attempt to make the victim, sexually penetrate a perpetrator or someone else without the victim's consent because the victim was physically forced or threatened with physical harm.

• Completed or attempted alcohol or drug-facilitated acts in which a victim is made to penetrate someone

Includes situations when the victim was made, or there was an attempt to make the victim, sexually penetrate a perpetrator or someone else without the victim's consent because the victim was too intoxicated (e.g., unconscious, or lack of awareness) through voluntary or involuntary use of alcohol or drugs.

 Non-physically forced penetration which occurs after a person is pressured to consent or submit to being penetrated

Includes being worn down by someone who repeatedly asked for sex or showed they were unhappy; having someone threaten to end a relationship or spread rumors; and sexual pressure by misuse of influence or authority.

#### Unwanted sexual contact

Includes intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person without his or her consent, or of a person who is unable to consent. Unwanted sexual contact also includes making a victim touch the perpetrator. Unwanted sexual contact can be referred to as "sexual harassment" in some contexts, such as a school or workplace.

• Noncontact unwanted sexual experiences

Includes unwanted sexual attention that does not involve physical contact. Some examples are verbal sexual harassment (e.g., making sexual comments) or unwanted exposure to pornography. This occurs without a person's consent and sometimes, without the victim's knowledge. This type of sexual violence can occur in many different settings, such as school, the workplace, in public, or through technology.

Developmental disabilities (DD): Per the *Developmental Disabilities Act of 2000*, the definition of a developmental disability means a severe, chronic disability of an individual that is 1) attributable to a mental or physical impairment or combination of mental or physical impairments; 2) is manifested before the individual attains the age of 22; 3) is likely to continue indefinitely; 4) results in substantial functional limitations in 3 or more of the following areas of major life activity: Self-care; Receptive and expressive language; Learning; Mobility; Self-direction; Capacity for independent living; and Economic self-sufficiency; 5) reflects the individual's need for a combination and sequence of special, interdisciplinary or generic services, individualized supports, or other forms of assistance that are of lifelong or extended duration and are individually planned and coordinated. Examples: cerebral palsy, autism, epilepsy, intellectual disability.

Intellectual disability (ID): According to the National Institutes of Health, intellectual disability is a type of developmental disability. ID starts any time before a child turns 18 and is characterized by problems with:

- o Intellectual functioning or intelligence, which include the ability to learn, reason, problem solve, and other skills; and
- o Adaptive behavior, which includes everyday social and life skills.

An example of an intellectual disability is Down syndrome.

Intellectual/developmental disability (I/DD): Refers to an intellectual or other developmental disability.

#### Part 4

# What is the Purpose or Desired Outcome?

The ADDPC desires to better understand the scope of the issue of sexual violence/abuse among children and adults with I/DD in Arizona and how the state is responding. The Council seeks to determine the current status of detection, reporting, data tracking, monitoring, training, and prevention efforts around this issue in order to meet three primary goals:

- to motivate regulatory and legislative changes;
- to determine what types of targeted training are needed and for which audiences to prevent, recognize, and report sexual violence/abuse; and
- to raise awareness of this issue among local, state, and national audiences.

# What are the Deliverables if Funded?

- 1. A final report, with an executive summary, will highlight gaps in the current system of detection, reporting, data tracking, monitoring, training and prevention efforts, and to offer recommendations for improvement based on best practices.
- 2. A condensed report (less than 5 pages) shall be produced in plain language with visual graphics that may be accessed by the general population. Information on plain language is found here: <a href="www.plainlanguage.gov/">www.plainlanguage.gov/</a>. Upon release of the final report, the contractor shall be invited to present the findings of the report, at a date that will be communicated by the ADDPC.

# Methodology

Methods to collect data for this project to meet research goals shall include:

- 1) A literature review of best practices in state systems to determine how Arizona compares;
- 2) A review of existing legislation, policies, procedures, and/or agency data;
- 3) Conduct surveys, interviews, or focus groups with state agencies, individuals with I/DD and families, and/or Division of Developmental Disabilities (DDD) home licensees and other DDD provider stakeholders. Input may also be collected from law enforcement, teachers, first responders, etc. to gain a more comprehensive understanding of the issue.

The following research questions may be addressed in the proposed research. The contractor also may propose other research questions that meet the desired outcome:

- 1. What is the process for a person with I/DD to report sexual violence/abuse in a DDD-funded or Regional Behavioral Health Authority (RBHA)-funded group home? Intermediate Care Facility- Individuals with Intellectual Disabilities (ICF-IID) or skilled nursing facilities? In their family home? At an adult developmental home? At school? In child care settings? Day treatment program for adults (DTAs)? How is the person and family made aware of this process? What actions are taken if the perpetrator is the guardian or if it's another resident with I/DD? When are cases substantiated, and how? What are the outcomes of these reports to the people and organization (if applicable) involved?
- 2. What are current staffing, regulatory or legislative requirements, barriers and successes to detection, reporting, tracking and monitoring, and prevention efforts among state agencies and organizations regarding the I/DD population?
- 3. How are organizations and state agencies currently working together to address sexual violence/abuse detection, reporting, tracking, monitoring, and prevention among the population with I/DD? What's working in these relationships, and what are the gaps?
- 4. What are individuals' with I/DD, families', providers', and DDD support coordinator's experiences, knowledge, and perspectives on sexual violence/abuse identification, reporting, training, and prevention?
- 5. What are overall recommendations to ensure that Arizona works towards the elimination of sexual violence/abuse of people with I/DD? Share best practices.

It is strongly recommended that the methods proposed include original data collection that include personal stories to better determine what is happening on the ground versus what is written in policy.

# Special Note Regarding Privacy and Confidentiality

The Applicant shall ensure that that research guarantees full confidentiality. The identity of persons interviewed in this research shall not be disclosed, unless permission is explicitly granted. The data and outcome will be used solely for this contract. Any responses shall not be reported outside this research project. In case of surveys and interviews of individuals with I/DD and their families, the whole process shall be restricted, limited and absolutely private.

The Applicant shall adhere to their organization's institutional review board (IRB) process, if applicable, for proper confidentiality and consent statements signed by all participants and researchers alike involved.

#### Part 5

# **Project Narrative**

Applicants shall provide a written narrative to address the Scope of Work. The written narrative shall be single-space typed, and shall be a maximum of 20 pages, not including other forms and attachments that are necessary to submit as part of your application. Type each question before answering and in the order as stated.

- 1) Describe the proposed research design, including methodology, proposed research questions the project seeks to answer, and describe the sample population.
- 2) Briefly describe the capacity for the Applicant to successfully complete the methodology of this project. Provide any previous experience that is similar to this scope of work, and a web link to the final product(s), if available.
- 3) Briefly provide an overview of the name(s) of the key staff who would be assigned to this project, their educational background, length of time with organization, current job title, and previous experience with this type of research. Attach current resumes or CVs of each team member involved. Resumes/CVs are excluded from the 20-page maximum.
- 4) Provide a timeline with key tasks to complete the research.

- 5) Provide up to two current references. Include contact information, and briefly describe the type of research conducted, for whom was the research conducted for, and when the research was completed.
- 6) If applicable, provide Letters of Support from each collaborating partner, ensuring that the partnering organizations describes their role or function to support the overall project.
- 7) Provide a budget that shows a total all-inclusive cost. Include the number of hours at the hourly rate for each of the key staff working on this project.

#### Part 6

### Dates to be Aware of

# **Pre-Application Meeting**

(Attendance is not required)
March 5, 2019, Time 10:00 AM

Location: Arizona Developmental Disabilities Planning Council 3839 North Third Street, Suite 306 Phoenix, Arizona 85012.

If unable to attend in person, teleconferencing is available by dialing 1-240-454-0879, Access Code 806-463-229 #

#### Letters of Intent Due Date

April 1, 2019 via email: <a href="mcrane@azdes.gov">mcrane@azdes.gov</a>
Submission is not required, and will not obligate the applicant to submit an application.

# **Application Due Date**

April 10, 2019, 4:00 PM Phoenix Local Time;

Applicants shall submit a full application, with all required forms and documents, in PDF format. Email one full application to <a href="mailto:mcrane@azdes.gov">mcrane@azdes.gov</a>



# Offer and Acceptance

SOLICITATION NO.: ADDPC-FFY19-SARSH-001	PAGE 1
OFFFEROR:	OF 1

# AZ Developmental Disabilities Planning Council

3839 North Third Street, Ste. 306 Phoenix, AZ 85012

#### **OFFER**

#### TO THE STATE OF ARIZONA:

The Undersigned hereby offers and agrees to furnish the material, service or construction in compliance with all terms, conditions, specifications and amendments in the Solicitation and any written exceptions in the offer. Signature also certifies Small Business status.

	Company Name			Signature of Person Author	orized to Sign Offer
	Address			Printed Name	
City	State	Zip	average .	Title	
			Phone:	Account to the second s	L. A. AMACTOR
	Contact Email Address		-		
sy signature in the Offer	section above, the Offeror certifi	es:			
The Offeror has not give discount, trip, favor, or by this clause shall relegal remedies provide. The Offeror certifies the million or less.	sult in rejection of the offer. Sign	connection with the subnining the offer with a falsomationIS/IS NO	nitted offer. Failure e statement shall v T a small business	to provide a valid signature a oid the offer, any resulting co- with less than 100 employee	ffirming the stipulations required ntract and may be subject to s or has gross revenues of \$4
		ACCEPTANC	E OF OFFER		
The Offer is hereby	•				
The Contractor is no including all terms,	ow bound to sell the mater conditions, specifications,	rials or services liste amendments, etc.,	ed by the attacl and the Contra	ned contract and based actor's Offer as accepted	upon the solicitation, d by the State.
This Contract shall	henceforth be referred to	as Contract No			
<del></del>	of the Contract is			· · · · · · · · · · · · · · · · · · ·	
i ne effective date c	autioned not to commence	e any billable work o	or to provide an	y material or service und e to proceed.	der this contract until
The Contractor is ca	purchase order, contract	release document			
The Contractor is ca	purchase order, contract	State of Ari Awarded th	zona	day of	20

# Applicant's Background Information Questionnaire

Complete each item, using attachments where necessary and label your response "Applicant's Background Information Questionnaire". Attachments shall indicate the item number and heading being referenced as it appears below. Failure to make full and complete disclosure may result in the rejection of your application as unresponsive.

1.	Contact Name for Project:	,	
	Organization Name:		
	Address:		
	Phone Number:	Email:	

2. The Applicant is and was established when:

TYPE	YEAR ESTABLISHED
Corporation – Nonprofit	
Corporation – For Profit	
Limited Liability Company	
Limited Liability Partnership	
Partnership	
Unit of Local Government	
Indian Tribal Government	
Other	

3. As the lead applicant, read each statement carefully and mark Yes or No.	YES	NO
a. Has any Federal or State agency ever made a finding of noncompliance with any relevant civil rights requirements with respect to your business activities? If YES, please attach an explanation.		
b. Has the Applicant, its major stockholders with a controlling interest, or its officers been the subject of criminal investigations or prosecutions or convicted of a felony? IF YES, please attach an explanation.		

c. Does the Applicant have sufficient funds to meet obligations on time under the Contract while awaiting reimbursement from ADDPC? If NO, please attach an explanation.		
d. Have any licenses ever been denied, revoked or suspended or provisionally issued within the past five years? If YES, please attach an explanation.		
e. Have you or has your organization terminated any contracts, had any contracts terminated, had any liquidated damages assessed or been involved in contract lawsuits? If YES, please attach an explanation.		
f. Do you, your staff, any of your relatives, or voting members of your Board of Directors maintain any ownerships, employments, public and private affiliations or relationships which may have substantial interest (as defined in A.R.S. §38-502, Conflict of Interest) in any contract, sale, purchase, or service involving the ADDPC? If YES, please attach an explanation.		
g. Has your organization ever gone through bankruptcy? If YES, when? Include the State, District and case number.	,	

4.	Authorized	Signatory:
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(Name and Title of Authorized Signatory) is the signatory to this Contract on behalf of the Contractor and is responsible for the delivery of Contract Services during the term of this Contract.

#### Financial Systems Survey

Applicants: If you have a current contract with the ADDPC **OR** have received a grant from the ADDPC in the past 3 years, you are **not required** to fill the Financial System Survey.

All other NEW applicants, please answer every question by filling in the circle next to the correct answer. Attach materials and document comments as required.

Name of Applicant:	:	
• •		

As stewards of federal funds, the Arizona Developmental Disabilities Planning Council awards funds to organizations (regardless of how small or large) that are both capable of achieving project goals/objectives and upholding their responsibility for properly managing funds as they achieve those objectives.

This survey will be used primarily for initial monitoring of the organization. This survey may also be used in evaluating the financial capability of the organization in the award process. Deficiencies should be addressed for corrective action and the organization should consider procuring technical assistance in correcting identified problems.

#### A. GENERAL INFORMATION

1.	Has your organization received a Federal or State Grant within the last three years?	o YES o NO
2.	Has your organization completed an A-133 Single Audit within the past three years? (organization has expended \$750,000 in federal funds in the applicant's past fiscal year. ADDPC may request copy)	o YES o NO
3.	If your organization has not completed an A-133 Single Audit, have your financial statements been audited, reviewed or compiled by an independent Certified Public Accountant within the past three years? If so, what year?	o YES o NO
4.	Has your organization been granted tax-exempt status by the Internal Revenue Service?	o YES o NO o N/A
5.	If you answered YES to question #5, under what section of the IRS code?  O 501 C (3) O 501 C (4) O 501 C (5) O 501 C (6) O Other: Please Specify  Type Attach copy of determination letter from IRS	Attachment Required
6.	Does your organization have established policies related to salary scales, fringe benefits, travel reimbursement and personnel policies?	o YES o NO

## **B. FUNDS MANAGEMENT**

1.	Which of the following describes your organization's accounting system?	0	Manual
	, and the same same same same same same same sam	0	Automated
		0	Combination
2.	How frequently do you post to the General Ledger?	0	Daily
		0	Weekly
		0	Monthly
		0	Other
3.	Does the accounting system completely and accurately track the receipt and	0	YES
	disbursements of funds by each grant or funding source?	0	NO
4.	Does the accounting system provide for the recording of actual costs compared to	0	YES
	budgeted costs for each budget line item?	0	NO
5.	Are time and effort distribution reports maintained for employees working fully or	0	YES
	partially on state or federal grant programs which account for 100% of each employee's time?	0	NO
6.	Is your organization familiar with OMB final guidance for HHS federal awards,	0	YES
	"Uniform Administrative Requirements, Cost Principals, and Audit Requirements for HHS Awards under 45 CFR, Part 75)?	0	NO
7.	How does your organization plan to charge common/indirect costs to this grant?	0	Direct Charges
	OTE: Those organizations using an approved federal indirect cost plan/rate need to	0	Utilizing an
	tach a copy of the approved Indirect Cost Rate or methodology and calculations in		Indirect Cost
1	termining the rate.		Allocation Plan
		ļ	or Rate

# C. INTERNAL CONTROLS

1.	Are duties of the bookkeeper/accountant segregated from the duties of cash receipt	o YES
	or cash disbursement?	o NO
2.	Are checks signed by individuals whose duties exclude recording cash received,	o YES
	approving vouchers for payment and the preparation of payroll?	o NO
3.	Are all accounting entries and payments supported by source documentation?	o YES
		o NO
4.	Are cash or in-kind matching funds supported by source documentation?	• YES
		o NO
5.	Are forgone indirect costs used as match for the proposed grant program?	o YES
		o NO
6.	Are employee time sheets supported by appropriately approved/signed documents?	o YES
		o NO
7.	Does the organization maintain policies that include procedures for assuring	o YES
	compliance with applicable cost principles and terms of each grant award?	o NO

D.	PF	$\cap$	$\cap$	IR	F١	ИE	NT

1.	Does the organization maintain written codes of conduct for employees involved in	0	YES
	awarding or administering procurement contracts?	0	NO
2.	Does the organization conduct purchases in a manner that encourages open and free	0	YES
	competition among vendors?	0	NO
3.	Does the organization complete some level of cost or price analysis for every major	0	YES
	purchase?	0	NO
4.	Does the organization maintain a system of contract administration to ensure	0	YES
	Subcontractor conformance with the terms and conditions of each contract?	0	NO
5.	Does the organization maintain written procurement policies and procedures?	0	YES
		0	NO

F	CONTACT	INFORM	/ATION
	CONTRACT		

Disabilities Planning Council has questions about this survey, this indi	•
Prepared By:	
Job Title:	
Date:	
Phone & Email:	
F. CERTIFICATION  I certify that this report is complete and accurate, and that the Applic responsibility of maintaining a legal and valid financial systems.	cant has accepted the

## G. COMMENT AND ATTACHMENTS

Signature

Please attach a Word document to comment on any answers in Sections A-D. Please indicate the Section and Question # next to each comment. Label this extra sheet as Financial Systems Survey - Comment. And attach any related and required documents at the end of Financial Systems Survey.

# ASSURANCES FOR NON-CONSTRUCTION PROGRAMS OMB Approval No. 0348-0040

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503

**NOTE:** If you have a current contract with the ADDPC **OR** have received a grant from the ADDPC in the past 3 years, you are not required to sign the Assurances for Non-Construction Programs.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

- 1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of the project cost) to ensure proper planning, management and completion of the project described in this application.
- 2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting standards or agency directives.
- 3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
- 4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
- 5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R 900, Subpart F).
- 6. Will comply with all Federal statues relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination of the basis of handicaps; (d) the

Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to the nondiscrimination in the sale, rental or financing or housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

- 7. Will comply, or has already complied, with the requirements of the Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
- 8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
- 9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §276a to 276a-7), the Copeland Act (40 U.S.C. §276C and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction sub agreements.
- 10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
- 11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program develo9ped under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air ) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.);
  - (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
- 12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers

system.

- 13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §§470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
- 14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
- 15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
- 16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
- 17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations.
- 18. Will comply with the Contractor Employee Whistleblower Rights and Requirement to Inform Employees of Whistleblower Rights of 2013 (P.L. 112-239, U.S.C. §§4712 et seq., section 828 of the National Defense Authorization Act).
- 19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations and policies governing this program, including the U.S. Health and Human Services, 2 CFR Part 300, and 45 CFR Part 75; and Grants Policy Statement. Each governs the federal Developmental Disabilities Assistance and Bill of Rights Act of 2000.

SIGNATURE OR AUTHORIZED CERTIFYING OFFICIAL	TITLE
OFFEROR ORGANIZATION	DATE

# Arizona Developmental Disabilities Planning Council RFGA# ADDPC-FY19-SARSH-001

#### SECTION 3: ADDPC SPECIAL INSTRUCTIONS FOR THIS RFGA

#### 1. REQUIRED REVIEW

Applicants should carefully review this solicitation for defects and questionable or objectionable matter. Comments concerning defects and objectionable material must be made in writing and received by ADDPC at least seven (7) days prior to the application's due date. Protests based on any omission or error, or on the content of the solicitation, may be disallowed if these faults have not been previously brought to the attention of ADDPC as required herein.

In the event of a contract awarded, no plea of ignorance of conditions that exist, or may hereafter exist, or of difficulties that may be encountered in the provision of services under the contract will be accepted as an excuse for any failure or omission on the part of the applicant to fulfill in every detail all of the requirements of the contract, nor accepted as a basis for any claims for extra compensation.

#### 2. PRE-APPLICATION CONFERENCE

A Pre-Application Conference will be held at the ADDPC Office and via teleconference, as listed in Part 6 of the Scope of Work, March 5, 2019, 10:00 AM; attendance is not required. The purpose of the conference will be to clarify the contents of the solicitation in order to prevent any misunderstanding of ADDPC's position. Any doubt as to the requirements of the solicitation or any apparent omission or discrepancy should be presented to ADDPC at the conference. ADDPC will then determine the appropriate action necessary, if any, and issue a written amendment to the solicitation if required. Oral statements or instructions will not constitute an amendment to the solicitation. It is the sole responsibility of the applicant to check the ADDPC website at <a href="https://addpc.az.gov">https://addpc.az.gov</a> for any amendments to this RFGA.

#### LETTER OF INTENT

Applicants are strongly encouraged to submit a Letter of Intent via email. The purpose of a Letter of Intent is to notify the ADDPC of the applicants' intent to apply for grant funding under this RFGA. Letters of Intent will be used only to review the number of expected applications and for planning purposes. Include in your Letter of Intent the name of the Applicant and the amount of funding being requested. Letters of Intent shall be emailed to the Contracts Manager, <a href="mailto:mcrane@azdes.gov">mcrane@azdes.gov</a> no later than April 1, 2019. Letters of Intent shall remain confidential until final contract award.

#### 4. SUBMISSION OF APPLICATIONS

It is the responsibility of each applicant to ensure their application is electronically submitted, as one complete PDF application, to <a href="mailto:mcrane@azdes.gov">mcrane@azdes.gov</a> <a href="mailto:by-the-due date and-time">by-the-due date and-time</a>, April 10, 2019, 4:00 PM. Late electronic submissions will not be accepted.

The ADDPC will not accept mailed or telefaxed copies.

The ADDPC is not responsible for the costs of developing the application.

The ADDPC is not responsible if an electronic copy is sent to another person other than who is designated on this grant solicitation.

#### 5. APPLICATION FORMAT AND CONTENT

The applicant shall submit one complete electronic copy, in PDF format, to mcrane@azdes.gov.

The application narrative shall be typed, single-spaced with one-inch margins or wider with a 12-point using Verdana, Times New Roman or Arial font. Page numbers must be on the bottom of all pages. The maximum number of pages to be typed is exclusive of other required forms, is twenty (20) pages. Legibly fill out the other required forms, and ensure proper signatures are obtained where necessary. Below is the order the application shall be presented in:

- A. Offeror and Acceptance Form
- B. Program Narrative, (part 5 in scope of work)
- C. Applicants Background Information Questionnaire
- D. Financial Systems Survey, and required financial attachments, if applicable
- E. Assurances for Non-Construction Programs, if applicable
- F. Signed RFGA Amendment(s) if applicable

<u>Please Note:</u> Failure to include the requested information and in the required format will have a negative impact on the evaluation of the application.

#### APPLICATION OPENING

Applications shall be opened publicly at the time and place designated on the cover page of this document. The name of each applicant may be read publicly and recorded. The evaluation documentation shall not be subject to public inspection until after Contract award.

#### 8. OFFER ACCEPTANCE PERIOD

Applications shall be irrevocable for 120 days after the application due date.

#### 9. RESPONSIVENESS AND ACCEPTABILITY

Applications may not be considered responsive and / or acceptable if they do not contain information sufficient to evaluate the application in accordance with the factors identified in the solicitation or other necessary application components. Necessary components include: an indication of the applicants intent to be bound, response to the scope of work, budget information, and submission of all other required forms and attachments.

#### 10. EVALUATION

The ADDPC shall ensure that the final selection for the grant award shall be made to those whose application is determined in writing to be the most advantageous to the State based upon the evaluation criteria listed below. The evaluation criteria includes the following:

- 1. Provide a comprehensive response to the Scope of Work, including complete responses to Part 5 Project Narrative, including a measurable timeline, letters of support, and reference check.
- 2. Experience and qualifications of the applicant and personnel in carrying out the proposed project.
- 3. Cost effectiveness of the program that shows reasonable and allowable costs.

ADDPC reserves the right to consider historic information and facts, whether gained from the Offeror's application, negotiations, references, or other source and the views of the evaluator(s) with a prior contract or service delivery experience with any of the offeror's, while conducting the application evaluations.

#### 11. COMPETITIVE RANGE

If the ADDPC determines that the number of applications that would otherwise be in the Competitive Range exceeds the number at which an efficient competition can be conducted, the ADDPC may limit the number of applications in the Competitive Range to the greatest number that will permit an efficient competition among the most highly advantageous applications.

#### 12. CLARIFICATIONS

ADDPC may request oral or written clarifications, including demonstrations or questions and answers, for the sole purpose of information gathering or of eliminating minor informalities or correcting nonjudgmental mistakes in applications. Clarifications shall not otherwise afford the applicant the opportunity to alter or change its application.

#### 13. NEGOTIATIONS

ADDPC reserves the option to conduct negotiations with applicants who submit applications determined to be in the competitive range or reasonably susceptible of being selected for award. If negotiations are conducted, ADDPC shall issue a written request for final application revisions. Award may be made without negotiations, therefore, applications shall be submitted complete and on most favorable terms.

#### 14. EXCEPTIONS

Applicants shall indicate any exceptions they have taken to the instructions, terms, conditions or other requirements of the solicitation. Exceptions may result in the rejection of the application.

#### 15. CONFIDENTAL INFORMATION

If a person believes that any portion of the application, offer, specification, protest, or correspondence contains information that should be withheld, then the ADDPC shall be so advised in writing (Budget is not confidential and will not be withheld). Such material shall be identified as confidential wherever it appears. The State, pursuant to A.C.R.R. R2-7-104, shall review all requests for confidentiality and provide a written determination. If the confidential request is denied, such information shall be disclosed as public information, unless the person utilizes the "Protest" provision as noted in §§ 41-2611 through 41-2616.

#### 16. DEFINITION OF TERMS

- A. <u>Shall, Must</u>: Indicates a mandatory requirement. Failure to meet these mandatory requirements may result in the rejection of an application as non-responsive.
- B. <u>Should</u>: Indicates something that is recommended but not mandatory. If the applicant fails to provide recommended information, the State may, at its sole option, ask the applicant to provide the information or evaluate the application without the information.
- C. May: Indicates something that is not mandatory but permissible.

#### 17. AVAILABILITY OF FUNDS FOR THE NEXT STATE FISCAL YEAR

Funds may not presently be available for performance under this solicitation beyond the current state fiscal year. If funds are not allocated and available for the continuance of this solicitation, and any subsequent contracts, they may be terminated by the ADDPC at the end of the period for which funds are available.

#### 18. ADDPC'S RIGHTS

Notwithstanding any other provision of the RFGA, ADDPC expressly reserves the right to:

- A. Waive any immaterial defect or informality;
- B. Reject any or all applications, or portions thereof; or
- C. Reissue the RFGA.