Self-Advocacy Coalition Building in AZ Communities

We currently have a grant opportunity for one contractor to support activities and outreach efforts that will lead to coalition building for self-advocates.

The winning grantee will develop and support coalition building of self-advocates in Arizona communities, especially in communities that are unserved or underserved. All proposed activities must show how they lead to building coalitions, community capacity and support for self-advocates.

The grant is available to nonprofits, colleges or universities, and for-profits. The total amount of funding available is $100,000 over a 12-month period. This is a one-year grant and a single award will be made.

A pre-application conference is scheduled for March 31, 2020 at 10:00 a.m. Arizona Time. At this time, we ask interested applicants to call our teleconference line at 1-347-201-7702 (Access PIN: 171053). No in-person meeting will be held.

<table>
<thead>
<tr>
<th>Grant Release: Tuesday, March 17, 2020</th>
<th>Due Date of Applications: May 14, 2020, 3:00 p.m. Arizona Local Time</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pre-Application Conference: March 31 at 10:00 a.m. Arizona Time</td>
<td>Anticipated Review: June 2020</td>
</tr>
<tr>
<td>Amendment (if any) Posted: April 1, 2020</td>
<td>Anticipated Award: August 2020</td>
</tr>
<tr>
<td>Letters of Intent Due (not mandatory): May 1, 2020</td>
<td>Questions? Email: <a href="mailto:mcrane@azdes.gov">mcrane@azdes.gov</a></td>
</tr>
</tbody>
</table>
RFCA FFY20-SACD-A1; Self-Advocacy Coalition Building; Due on May 14, 2020

APPLICANTS ARE STRONGLY ENCOURAGED TO CAREFULLY READ THE ENTIRE SCOPE OF WORK AND INSTRUCTIONS.

If you have any questions regarding this grant solicitation, the only person you may contact is Marcella Crane via email at mcrane@azdes.gov.

Applicants shall not contact other staff or ADDPC Council members regarding this process.

This solicitation is broken into three sections:

- Section 1: Scope of Work, pages 1-7
- Section 2: Application Forms, pages 8-26
- Section 3: Special Instructions, pages 27-31

SCOPE OF WORK

In this section, the Council will detail the Scope of Work. Please read this section carefully to determine if you’re a fit for applying for a grant from the Arizona Developmental Disabilities Planning Council (ADDPC). The Catalog of Federal Domestic Assistance (CFDA) number for the ADDPC Grant is 93.610.

Title: Self-Advocacy Coalition Building in AZ Communities

Part 1

Total Amount Available:
$100,000

1. Applicants shall only apply for only the amount necessary to carry out your planned activities for a 12-month period. Only one request per Applicant shall be submitted.

2. All costs shall be considered to include costs that will be incurred to conduct the proposed project, including staffing, supplies/materials, consultants, and evaluation/data collection.

3. The ADDPC reserves the right to increase or decrease the total amount of available funding depending on the number of applications that are susceptible for an award. In addition, the ADDPC reserves the right to make an award(s) for greater or lesser amounts than requested
and to negotiate the proposed project and budget with the applicant prior to making an award.

**Number of Funded Applicants:**
One single award will be made. Applicant must show strong collaboration and outreach efforts with self-advocates and local advocacy groups that have specific roles to engage in coalition development in communities around Arizona.

**Eligibility:**
This is a Competitive Solicitation. Applicants must have experience in working with the disability community, promoting self-advocacy, coalition building in various communities, networking with a variety of partners, using best or promising practices in advocacy efforts, and grant management.

The solicitation is open to the following organizations/agencies. The ADDPC will make a single award therefore, prospective applicants shall carefully organize and demonstrate in their application which agency will apply for the grant (be the fiscal agent), and provide a comprehensive list of collaborators and partners to effectively carry out the tasks and activities.

- Nonprofits (must submit a 501(c)(3) determination letter from the IRS)
- Unit of Local Government
- Universities or Community Colleges
- Tribal Governments
- Combination of the above

**Term of the Contract:**
The contract period for any approved application is 12 months. The term of the contract shall commence on date of last signature on the Award Notification or date of Award Letter and shall remain in effect as stated, unless terminated, canceled, or extended as otherwise provided herein.

The contract shall not bind or purport to bind the ADDPC for any contractual commitment in excess of the original contract period. The ADDPC may renew a contract for a specific period and/or specific amount. Notification of any contract renewal will be undertaken by the ADDPC and will consider current federal funding and contract performance.

*Part 2*

**Description of What the Council will Fund:**
State Councils are required to support self-advocacy efforts that are led by individuals with intellectual
and/or developmental disabilities (I/DD). Self-advocates speak up for themselves, they make their voices heard and views known, organize to fight against discrimination, and make decisions for themselves throughout their life span.

The ADDPC is mandated to support self-advocacy efforts in any of the following three ways: 1) funding leadership training for self-advocates; 2) funding participation of self-advocates on cross-disability and culturally diverse boards and coalitions; 3) and to provide the direct funding of a statewide self-advocacy coalition led by individuals with I/DD.

**This RFGA will fund** the development of and support for coalition building of self-advocates in Arizona communities, especially in communities that are unserved and underserved. All proposed activities must show how they will lead to the coalition building and serve individuals with I/DD.

**What this RFGA will NOT fund:**

- Proposed single activity, and limited community outreach
- Project design that is limited and fractured in design and implementation
- Lack of collaborators
- Proposed awarding or sub-awarding to community groups that are not designated as a 501(c)3, experience in grant management, and experience in fiscal controls.

**Requirements of Applicants:**
The ADDPC will award a single grant to an applicant whose program design, including activities, evaluation methods, staffing, collaboration and supportive budget are the most advantageous to the State. Applicants must demonstrate the following strengths:

- Have the knowledge and capacity to target areas of the state and/or populations that are unrepresented, underserved and underserved.
- Have knowledge and experience in various outreach methods.
- Have knowledge and experience in community coalition building and engaging with various collaboration and partners that are/and are not part of the disability community.
- Have knowledge and experience in engaging with, and developing and implementing activities that are culturally, linguistically responsive, age appropriate and sensitive to the persons they serve.
- Be familiar with and encourage persons with I/DD to use assistive technology (AT), incorporating the usage of AT, as appropriate, in the overall program design.
- Agree to collect evaluation data as stated in the Evaluation Section and share information, reports and summaries with the ADDPC, including pictures of participating self-advocates (with participant permission) of the program in action.
Part 3

Project Narrative / Methodology (15 pages maximum)
For your application to be evaluated, Applicants must type a project narrative, up to 15 pages maximum. Respond to each question listed below with sufficient detail and in the order as stated. Each question must be stated first prior to your response.

1) Describe a) your organization work in the disability community; b) list active board members and their organizational role and describe how often your board meets; c) provide a list of grants received in the past 3 calendar years (2019, 2018, 2017).
2) Describe in detail previous work and success in community coalition building around a specific topic. How did you engage the community, what type of work was done, what communities were impacted, and what did you learn in those efforts? Is the community coalition still in place?
3) Describe in detail how developing a self-advocacy community coalition will be undertaken in year one. What activities will be planned with and for the self-advocacy coalition? What will be implemented for the self-advocacy community coalition to maintain engagement and growth through the first year?
4) What strategies will be used to conduct outreach to unserved and underserved communities? What specific communities will be targeted?
5) What strategies will be used to work with, strengthen and include existing self-advocacy groups into this project?
6) How will individual self-advocates voices be part of this project and balanced with collaborators?
7) List who are the community partners that will be a part of this grant. Also denote who will be funded and the role they will be engaged in. Ensure Letters of Support are included in the grant application.
8) What steps will be taken to address program barriers, including any problems with outreach, community coalition building, recruitment of self-advocates and community partners and other tasks.
9) If funding is available for a second year, briefly describe what will be undertaken to sustain a self-advocacy community coalition and what new activities will implemented.

Evaluation (1 page maximum):
ADDPC requires all funded programs to evaluate their program based on certain performance measures (data) and benchmarks. The ADDPC will provide a narrative report template with specific performance measures to track. The Evaluation Narrative shall not exceed one written page. Applicants shall provide a narrative on the following three questions:

1) Describe what you hope to achieve at the end of year 1.
2) What are the key benchmark measurements (data points) that will be used to measure the grant objective is being met by the end of year 1?

3) How will self-advocates and community partners involvement and feedback be used through the evaluation process?

4) If barriers are encountered, how will discussion of possible changes in program design take place?

**Part 4**

**Deliverables to the ADDPC:**
If awarded, the Contractor will be required to provide the following:

1. Quarterly narrative report that describes the status of the project, implementation plan, and performance measures collected.
2. Fiscal invoicing either monthly or quarterly showing grant dollars and matching dollars spent.
3. Other information deemed necessary by the ADDPC. The ADDPC will work with funded Contractors and the Contractor agrees to provide additional information to the ADDPC including, but not limited to, interviews from program participants, interviews from the Contractor, pictures of persons with I/DD participating in a program, and site visits.

**Part 5**

**Dates:**
Applicants shall be aware of the following deadline dates. No exception will be made for late submission.

**Pre-Application Meeting:** Attendance is not required
March 31, 2020; Time 10:00 AM

ONLY by teleconference. No In-Person Meeting. Please call 1-347-201-7702; Pin: 171 053#

**Letters of Intent Due Date**
May 1, 2020 via email: mcrane@azdes.gov
Submission is not required and will not obligate the applicant to submit an application.

**Application Due Date**
May 14, 2020, 3:00 PM Phoenix Local Time;
Applicants shall submit a full application, with all required forms and documents, in one PDF format. Email one full application to mcrane@azdes.gov

Part 6

Definitions:

**Assistive Technology Device:** Means any item, piece of equipment, or product system, whether acquired commercially, modified or customized, that is used to increase, maintain, or improve functional capabilities of individuals with developmental disabilities.

**Culturally Competent:** The term is used with respect to services, supports, or other assistance, means services, supports, other assistance that is conducted or provided in a manner that is responsive to the beliefs, interpersonal styles, attitudes, language, and behaviors of individuals who receiving the services, supports, or other assistance, and in a manner that has the greatest likelihood of ensuring their maximum participation in the program involved.

**Developmental Disability (DD):** From the DD Act of 2000, a developmental disability is a severe, chronic disability that occurs before an individual is 22 that is likely to continue indefinitely and results in substantial functional limitations in three or more of the following areas: self-care, receptive and expressive language, learning, mobility, self-direction, capacity for independent living, and economic self-sufficiency. Diagnosed conditions may include autism, Down syndrome, intellectual disability, cerebral palsy, spina bifida, epilepsy, and others.

**Employment-Related Activities:** Means advocacy, capacity building, and systemic change activities that result in individuals with developmental disabilities acquiring, retaining, or advancing in paid employment, including supported employment or self-employment, in integrated settings in a community.

**Inclusion:** Means the acceptance and encouragement of the presence and participation of individuals with developmental disabilities, by individuals without disabilities, in social, educational, work, and community activities.

**Intellectual Disability:** A type of developmental disability that starts before the age of 18 and is characterized by difficulties with intellectual functioning or intelligence, ability to learn, reason, problem solve and other skills; and can be adaptive behavior which includes everyday social and life skills. (per National Institute of Health).
Nonprofit Organization: An organization that is described in section 501 (c)(3) of the Internal Revenue Code of 1986 and is exempt from taxation under section 501(a) of the Code.

Unserved and Underserved: Populations such as individuals from racial and ethnic minority backgrounds, disadvantaged individuals, individuals with limited English proficiency, individuals from underserved geographic areas (rural or urban), and specific groups of individuals with the population of individuals with developmental disabilities, including individuals who require assistive technology in order to participate in and contribute to community life.
OFFER

TO THE STATE OF ARIZONA:
The Undersigned hereby offers and agrees to furnish the material, service or construction in compliance with all terms, conditions, specifications and amendments in the Solicitation and any written exceptions in the offer. Signature also certifies Small Business status.

Company Name

Address

City State Zip

Signature of Person Authorized to Sign Offer

Printed Name

Title

Phone:

Contact Email Address

By signature in the Offer section above, the Offeror certifies:

1. The submission of the Offer did not involve collusion or other anticompetitive practices.
2. The Offeror shall not discriminate against any employee or applicant for employment in violation of Federal Executive Order 11246, State Executive Order 2009- 9 or A.R.S. §§ 41-1461 through 1465.
3. The Offeror has not given, offered to give, nor intends to give at any time hereafter any economic opportunity, future employment, gift, loan, gratuity, special discount, trip, favor, or service to a public servant in connection with the submitted offer. Failure to provide a valid signature affirming the stipulations required by this clause shall result in rejection of the offer. Signing the offer with a false statement shall void the offer, any resulting contract and may be subject to legal remedies provided by law.
4. The Offeror certifies that the above referenced organization ___ IS/ ___ IS NOT a small business with less than 100 employees or has gross revenues of $4 million or less.
5. The Offeror is not debarred by, or otherwise prohibited from participating in any publicly-funded contract awarded by any Federal, State or local jurisdiction.

ACCEPTANCE OF OFFER

The Offer is hereby accepted.

The Contractor is now bound to sell the materials or services listed by the attached contract and based upon the solicitation, including all terms, conditions, specifications, amendments, etc., and the Contractor's Offer as accepted by the State.

This Contract shall henceforth be referred to as Contract No. ____________________________.

The effective date of the Contract is ____________________________.

The Contractor is cautioned not to commence any billable work or to provide any material or service under this contract until Contractor receives purchase order, contract release document or written notice to proceed.

State of Arizona
Awarded this ______ day of ___________ 20___

______________________________
Erica McFadden, PhD, MSW, Executive Director
Applicant's Background Information Form

Complete each item, using attachments where necessary and label your response "Applicant's Background Information Form". Attachments shall indicate the item number and heading being referenced as it appears below. Failure to make full and complete disclosure may result in the rejection of your application as unresponsive.

1. Contact Name for Project: ________________________________

   Organization Name: _______________________________________

   Address: ________________________________________________

   Phone Number: ______________________ Email: ______________

2. The Applicant is and was established when:

<table>
<thead>
<tr>
<th>TYPE</th>
<th>YEAR ESTABLISHED</th>
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<tbody>
<tr>
<td>Corporation – Nonprofit</td>
<td></td>
</tr>
<tr>
<td>University or Community College</td>
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<tr>
<td>Unit of Local Government</td>
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<tr>
<td>Indian Tribal Government</td>
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<tr>
<td>Other</td>
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</table>
3. As the lead applicant, read each statement carefully and mark Yes or No.

<table>
<thead>
<tr>
<th></th>
<th>YES</th>
<th>NO</th>
</tr>
</thead>
<tbody>
<tr>
<td>a. Has any Federal or State agency ever made a finding of noncompliance with any relevant civil rights requirements with respect to your business activities? If YES, please attach an explanation.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>b. Has the Applicant, its major stockholders with a controlling interest, or its officers been the subject of criminal investigations or prosecutions or convicted of a felony? If YES, please attach an explanation.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>c. Does the Applicant have sufficient funds to meet obligations on time under the Contract while awaiting reimbursement from ADDPC? If NO, please attach an explanation.</td>
<td></td>
<td></td>
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<tr>
<td>d. Have any licenses ever been denied, revoked or suspended or provisionally issued within the past five years? If YES, please attach an explanation.</td>
<td></td>
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<tr>
<td>e. Have you or has your organization terminated any contracts, had any contracts terminated, had any liquidated damages assessed or been involved in contract lawsuits? If YES, please attach an explanation.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>f. Do you, your staff, any of your relatives, or voting members of your Board of Directors maintain any ownerships, employments, public and private affiliations or relationships which may have substantial interest (as defined in A.R.S. §38-502, Conflict of Interest) in any contract, sale, purchase, or service involving the ADDPC? If YES, please attach an explanation.</td>
<td></td>
<td></td>
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<tr>
<td>g. Has your organization ever gone through bankruptcy? If YES, when? Include the State, District and case number.</td>
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4. Authorized Signatory:

(Name and Title of Authorized Signatory) is the signatory to this Contract on behalf of the Contractor and is responsible for the delivery of Contract Services during the term of this Contract.
Applicant's Qualifications Questionnaire

For the following two questions, label your response "Applicant's Qualifications Questionnaire" and indicate the question number that is being addressed. This Questionnaire shall also apply to any Subcontractor that will have a key role in the proposed project.

1. Briefly provide an overview of the lead organization that includes either your Mission, Vision or Values. Describe how the organization is involved in the developmental disabilities community and summarize the programs and services provided. (Limited to one page).

2. Briefly describe the lead organization's experience, expertise, qualifications, and outcomes that demonstrate the applicant's ability to successfully deliver the proposed project requirements as described in the Scope of Work. If a Subcontractor will have key responsibilities, briefly describe their experience, qualifications, and expertise to carry out the project. (Limited to one page).

ANYTHING PROVIDED IN EXCESS OF THE PAGE LIMITS ABOVE WILL NOT BE CONSIDERED AS PART OF THE APPLICATION.
Personnel Qualifications Form

Complete a separate Personnel Qualifications Form for each staff that will be paid from the grant or will be conducting their work as Match. This should also include those that are listed as a Professional Contractor listed either in a paid or unpaid position. If personnel are to be hired, provide a job description ensuring at a minimum, questions #5 and #6, with other information as deemed necessary, is provided.

1. Name of person:________________________________________________________

2. Position currently held with Applicant: _________________________________

3. Proposed position for contract service and percentage of time devoted to the contract service:

______________________________________________________________________

%  

4. Number of years with Applicant: ______________________________________

5. Identify the primary function(s) of this person in terms of providing services under this Contract:

______________________________________________________________________

______________________________________________________________________

______________________________________________________________________

______________________________________________________________________
6. Briefly describe the person's work experience, job training, and formal education. (Do not attach resumes)

________________________________________________________________________

________________________________________________________________________

________________________________________________________________________

________________________________________________________________________

________________________________________________________________________
Financial Systems Survey

Applicants: If you have a current contract with the ADDPC OR have received a grant from the ADDPC in the past 3 years, you are **not required** to fill the Financial System Survey.

All other NEW applicants, please answer every question by filling in the circle next to the correct answer. Attach materials and provide comments as required.

Name of Applicant: ____________________________

As stewards of federal funds, the Arizona Developmental Disabilities Planning Council awards funds to organizations (regardless of how small or large) that are both capable of achieving project goals/objectives and upholding their responsibility for properly managing funds as they achieve those objectives.

This survey will be used primarily for initial monitoring of the organization. This survey may also be used in evaluating the financial capability of the organization in the award process. Deficiencies should be addressed for corrective action and the organization should consider procuring technical assistance in correcting identified problems.

### A. GENERAL INFORMATION

<p>| | |</p>
<table>
<thead>
<tr>
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<tbody>
<tr>
<td>1. Has your organization received a Federal or State Grant within the last three years?</td>
<td>○ YES ○ NO</td>
</tr>
<tr>
<td>2. Has your organization completed an A-133 Single Audit within the past three years? (organization has expended $750,000 in federal funds in the applicant’s past fiscal year. ADDPC may request copy)</td>
<td>○ YES ○ NO</td>
</tr>
<tr>
<td>3. If your organization has not completed an A-133 Single Audit, have your financial statements been audited, reviewed or compiled by an independent Certified Public Accountant within the past three years? If so, what year?</td>
<td>○ YES ○ NO</td>
</tr>
<tr>
<td>4. Has your organization been granted tax-exempt status by the Internal Revenue Service?</td>
<td>○ YES ○ NO ○ N/A</td>
</tr>
<tr>
<td>5. If you answered YES to question #4, under what section of the IRS code?</td>
<td>Attachment Required</td>
</tr>
<tr>
<td>Type ______________________. Attach copy of determination letter from IRS</td>
<td></td>
</tr>
<tr>
<td>6. Does your organization have established policies related to salary scales, fringe benefits, travel reimbursement and personnel policies?</td>
<td>○ YES ○ NO</td>
</tr>
</tbody>
</table>
## B. FUNDS MANAGEMENT

1. Which of the following describes your organization’s accounting system?
   - Manual
   - Automated
   - Combination

2. How frequently do you post to the General Ledger?
   - Daily
   - Weekly
   - Monthly
   - Other

3. Does the accounting system completely and accurately track the receipt and disbursements of funds by each grant or funding source?
   - YES
   - NO

4. Does the accounting system provide for the recording of actual costs compared to budgeted costs for each budget line item?
   - YES
   - NO

5. Are time and effort distribution reports maintained for employees working fully or partially on state or federal grant programs which account for 100% of each employee’s time?
   - YES
   - NO

6. Is your organization familiar with OMB final guidance for HHS federal awards, "Uniform Administrative Requirements, Cost Principals, and Audit Requirements for HHS Awards under 45 CFR, Part 75)?
   - YES
   - NO

7. How does your organization plan to charge common/indirect costs to this grant?
   **NOTE**: Those organizations using an approved federal indirect cost plan/rate need to attach a copy of the approved Indirect Cost Rate or methodology and calculations in determining the rate.
   - Direct Charges
   - Utilizing an Indirect Cost Allocation Plan or Rate

## C. INTERNAL CONTROLS

1. Are duties of the bookkeeper/accountant segregated from the duties of cash receipt or cash disbursement?
   - YES
   - NO

2. Are checks signed by individuals whose duties exclude recording cash received, approving vouchers for payment and the preparation of payroll?
   - YES
   - NO

3. Are all accounting entries and payments supported by source documentation?
   - YES
   - NO

4. Are cash or in-kind matching funds supported by source documentation?
   - YES
   - NO

5. Are forgone indirect costs used as match for the proposed grant program?
   - YES
   - NO

6. Are employee time sheets supported by appropriately approved/signed documents?
   - YES
   - NO

7. Does the organization maintain policies that include procedures for assuring compliance with applicable cost principles and terms of each grant award?
   - YES
   - NO
D. PROCUREMENT

<table>
<thead>
<tr>
<th>Question</th>
<th>Option 1</th>
<th>Option 2</th>
</tr>
</thead>
<tbody>
<tr>
<td>Does the organization maintain written codes of conduct for employees involved in awarding or administering procurement contracts?</td>
<td>YES</td>
<td>NO</td>
</tr>
<tr>
<td>Does the organization conduct purchases in a manner that encourages open and free competition among vendors?</td>
<td>YES</td>
<td>NO</td>
</tr>
<tr>
<td>Does the organization complete some level of cost or price analysis for every major purchase?</td>
<td>YES</td>
<td>NO</td>
</tr>
<tr>
<td>Does the organization maintain a system of contract administration to ensure Subcontractor conformance with the terms and conditions of each contract?</td>
<td>YES</td>
<td>NO</td>
</tr>
<tr>
<td>Does the organization maintain written procurement policies and procedures?</td>
<td>YES</td>
<td>NO</td>
</tr>
</tbody>
</table>

E. CONTACT INFORMATION

This individual will be contacted, if questions arise after review.

Prepared By: ________________________________

Job Title: ________________________________

Date: ________________________________

Phone & Email: ________________________________

F. CERTIFICATION

I certify that this report is complete and accurate, and that the Applicant has accepted the responsibility of maintaining a legal and valid financial system.

______________________________
Signature

G. COMMENT AND ATTACHMENTS

Please type on a separate piece of paper to comment on any answers in Sections A – D. Please indicate the Section and Question # next to each comment. Label this extra sheet as Financial Systems Survey - Comment. And attach any related and required documents at the end of Financial Systems Survey.
# Budget Request Form

## Contractor Name:

## Contractor Address:

Street Address

City

State

Zip

## Project Name:

## Budget Request Form

<table>
<thead>
<tr>
<th>Budget Category</th>
<th>Requested ADDPC Funds</th>
<th>Non-Federal Cash Match</th>
<th>Non-Federal In-Kind Match</th>
<th>Total Program Cost</th>
</tr>
</thead>
<tbody>
<tr>
<td>Personnel/Salaries</td>
<td></td>
<td></td>
<td></td>
<td>-</td>
</tr>
<tr>
<td>Fringe Benefits</td>
<td></td>
<td></td>
<td></td>
<td>-</td>
</tr>
<tr>
<td>Supplies / Operating Expenses</td>
<td></td>
<td></td>
<td></td>
<td>-</td>
</tr>
<tr>
<td>Travel</td>
<td></td>
<td></td>
<td></td>
<td>-</td>
</tr>
<tr>
<td>Rent or Cost of Space</td>
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<td></td>
<td></td>
<td>-</td>
</tr>
<tr>
<td>Contracted Services / Professional Services</td>
<td></td>
<td></td>
<td></td>
<td>-</td>
</tr>
<tr>
<td>Administrative / Indirect Costs</td>
<td></td>
<td></td>
<td></td>
<td>-</td>
</tr>
<tr>
<td><strong>Total Costs</strong></td>
<td></td>
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</tbody>
</table>

It is understood that Non-Federal Funds identified in this budget will be used to match only ADDPC Federal Funds, and will not be used to match any other Federal Funds during the period of the ADDPC funded Project.

Additional description and background information shall be included as a budget narrative, including for match. The contractor agrees to submit additional background information to the ADDPC upon request.

Name of Certifying Official

Title of Certifying Official

Phone

Email
Budget Development Guidelines to Develop
Budget Request Form and Narrative

The purpose of the budget narrative is to support the proposed project and provide more clarity and detail on the various budget line items that funds are being requested for. The budget narrative should explain the criteria used to compute the budget figures on the budget form. The Budget Request Form and Budget Narrative shall not exceed 12 months of requested funding.

A budget narrative should also include Match, by listing each Matching Funds Source. Please verify that the narrative and budget request form correspond, and the calculations and totals are accurate. This is a federal requirement per the DD Act.

The budget request form and budget narrative shall be in accordance with the Office of Management and Budget (OMB). It is the responsibility of the Applicant to know and understand the applicable circular and basic guidelines for allow-ability of costs.

The ADDPC reserves the right to ask the applicant for a revise budget summary, narrative and matching costs during contract clarifications. The ADDPC will review all costs that are proposed in the Budget Request Form and Narrative. Therefore, please be as accurate as possible. Of special concern are these costs that are Allowable and Non-Allowable as defined by the requirements of the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards under 45 CFR Part 75. These requirements can be found on the U.S. Government Publishing Office website:


Personnel/Salaries: Include information such as position title(s), name of employee (if known), salary, time to be spent on this program (hours or %), number of months assigned to this program, etc. If you need additional fiscal personnel to manage this grant, include those costs also. Explain how the salary rate for each position was determined. If salaries are expected to increase during the project year, indicate the percentage increases for each position and justify the percent of the salary increase. Also be sure to include the scheduled salary increases on the Budget Form.

All organizations that receive Federal funds are required to maintain appropriate documentation to support salaries and wages. All organizations will be monitored to
assure compliance with this requirement. Please review the appropriate Federal Cost Principal and OMB Circular for your organization.

**Fringe Benefits:** Include a benefit percentage and what expenses make up employee benefit costs. Indicate any special rates for part-time employees, if applicable. Explain how the benefits for each position were determined. If using a fringe benefit rate, explain how this percentage is justified or approved by your agency.

**Supplies/Operating Expenses:** Explain each supply item to be purchased, how the costs were determined and justify the need for the items. Items with a unit cost less than $5,000 are considered supplies and should be listed in this category. All purchases should be made according to the Applicant's written procurement policy, which at a minimum must contain the federal procurement guidelines for federal grants. Equipment and capital expenditures more than $5,000 are unallowable.

**Travel:** Travel costs are according to the State of Arizona Accounting Manual (SAAM), Travel written policy and can be located at https://gao.az.gov/travel. Include a detailed breakdown of hotel, transportation, meal costs, etc. Indicate the location(s) of travel and the justification for travel, how many employees will attend and how the estimates have been determined. Explain the relationship of each cost item to the project (e.g., if training or training expenses are requested, explain the topic of the training and its relationship to the project). The ADDPC reserves the right to determine the reasonableness of the applicants proposed travel costs, in accordance with the State of Arizona Accounting Manual (SAAM) Travel written policy.

**Rent or Cost of Space:** Costs for rental space are allowable to the extent that the rates are reasonable in light of such factors as: rental costs of comparable property, if any; market conditions in the area; alternatives available; and the type, life expectancy, condition, and value of the property leased. Define how the cost for rental space was determined and the justification for charging the cost to the grant.

**Contracted Services / Professional Services:** If contracted services/professional services are proposed in the budget, define how the costs for these services were determined and provide the justification for the services related to the project. This category may also include Evaluation Services. Information for Evaluation Professional Services should include who will be performing the evaluation, the type of work to be performed, and how the rates and other costs are determined. Explain how all contracts will be procured.

**Administrative / Indirect Costs:** The ADDPC has authority to cap all Administrative/Indirect Costs to 10% regardless of the Applicants internal policy.
Administrative costs are general or centralized expenses of overall administration of an organization that receives grant funds and does not include particular project costs. These costs may include direct charges for: costs of financial, accounting, auditing, contracting or general legal services; costs of internal evaluation, including overall organization’s management improvement costs; and costs of general liability insurance that protects the organization(s) responsible for operating a project, other than insurance costs solely attributable to the project.

For organizations that have an established federally approved indirect cost rate for federal awards, indirect costs mean those costs that are included in the organization’s indirect cost rate. Such costs are generally identified with the organization’s overall operation and are further described in the Office of Management and Budget Circulars 2 CFR 220, 2 CFR 225, and 2 CFR 230.

If the applicant’s written allocation policy for indirect costs OR the federally approved indirect cost rate agreement is not provided with the application (new or renewal funding), the ADDPC has the right to deny approving Administrative Costs.

Applicants may choose Option A OR Option B.

Option A: Internal Organizational Policy: With proper justification, Applicants may include an allocation for administrative costs for up to 10% of the total direct funds requested of the grant request. If you chose Option A, provide a listing of the items included in this category and a copy of the written allocation policy for these costs.

Option B: Federally Approved Indirect Costs: If your organization has a federally approved indirect cost rate agreement in place, Applicants are only allowed to include an allocation for indirect costs for up to 10% of the grant request. The forgone costs (difference between the ADDPC 10% cap and the approved Indirect Rate) can be used as Match towards the project. Applicants must provide a recent copy of their federally approved indirect cost rate agreement with the application.

Match: Per the DD Act-Section 126(a)(1) and Section 126 (a)(2), Applicants shall contribute 25% OR 10% Match towards the total program costs, either in the form of Cash or In-Kind. The ADDPC will fund 75% or 90% of the total program costs; therefore, it is the responsibility of the Applicant to come up with the remaining Match dollars to fund the full program costs. Match is a requirement to show in your budget summary and budget narrative and must be accounted for in supporting documentation.
a) What is NOT considered Match:

1) Applicants are prohibited from using other federal dollars that are currently awarded to the applicant as Match towards the requested total program costs.

2) Applicants are prohibited from using a source of funds that is already designated as Match towards an existing program and applying it as Match towards the proposed project.

c) These Arizona Counties meet the Poverty Designated Area, based on the SAIPE data. If the proposed program takes place in any of these counties, a 10% Match is only required:

Apache, Gila, Graham, La Paz, Navajo, Santa Cruz, Yuma

c1) Exception to the 10% Match: If the Applicant proposes a program to take place in both a Poverty Designated Area and a Non-Poverty Designated Area (i.e. Maricopa County), the Applicant shall contribute 25% Match.

d) Example of Match Calculation with the required 25% cash or in-kind:

Step 1: $40,000 (requested amount) / .75 = $53,333 (total project cost).

Step 2: $53,333 (total project costs) - $40,000 (requested amount) = $13,333 (total match requirement).
Example of Match Calculation with the required 10% cash or in-kind Match:

Step 1: $65,700 (requested amount) / .90 = $73,000 (total project cost).

Step 2: $73,000 (total project costs) - $65,700 (requested amount) = $7300 (total match requirement).

Other Fiscal Notes

a. Applicants are prohibited from supplanting, defined as to replace or take the place of federal, state, or local funding. Federal law prohibits recipients of federal funds from replacing state, local, or agency funds with federal funds. Existing funds for a project and its activities shall not be displaced by federal funds and reallocated for other organizational expenses.

b. Applicants are allowed to supplement, which is adding federal funds to what is available in state, local or agency funds.
Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503

NOTE: If you have a current contract with the ADDPC OR have received a grant from the ADDPC in the past 3 years, you are not required to sign the Assurances for Non-Construction Programs.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of the project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 13 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination of the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to the nondiscrimination in the sale, rental or financing or housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

7. Will comply, or has already complied, with the requirements of the Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.

8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.


10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is $10,000 or more.

11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National
Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.);
(g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).


14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.

15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.

16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.

17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, “Audits of States, Local Governments, and Non-Profit Organizations.


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SECTION 3: ADDPC SPECIAL INSTRUCTIONS FOR THIS RFGA

1. REQUIRED REVIEW
Applicants should carefully review this solicitation for defects and questionable or objectionable matter. Comments concerning defects and objectionable material must be made in writing and received by ADDPC at least seven (7) days prior to the application’s due date. Protests based on any omission or error, or on the content of the solicitation, may be disallowed if these faults have not been previously brought to the attention of ADDPC as required herein.

In the event of a contract awarded, no plea of ignorance of conditions that exist, or may hereafter exist, or of difficulties that may be encountered in the provision of services under the contract will be accepted as an excuse for any failure or omission on the part of the applicant to fulfill in every detail all of the requirements of the contract, nor accepted as a basis for any claims for extra compensation.

2. PRE-APPLICATION CONFERENCE
As listed in Part 5 of the Scope of Work, a Pre-Application Conference will be held at the ADDPC Office on March 31, 2020, 10:00 AM. Applicants can attend by teleconference only. Attendance is not required. The purpose of the conference will be to clarify the contents of the solicitation in order to prevent any misunderstanding of ADDPC’s position. Any doubt as to the requirements of the solicitation or any apparent omission or discrepancy should be presented to ADDPC at the conference. ADDPC will then determine the appropriate action necessary, if any, and issue a written amendment to the solicitation if required. Oral statements or instructions will not constitute an amendment to the solicitation. It is the sole responsibility of the applicant to check the ADDPC website at https://addpc.az.gov for any amendments to this RFGA.

3. LETTER OF INTENT
Applicants are strongly encouraged to submit a Letter of Intent via email. The purpose of a Letter of Intent is to notify the ADDPC of the applicants’ intent to apply for grant funding under this RFGA. Letters of Intent will be used only to review the number of expected applications and for planning purposes. Include in your Letter of Intent the name of the Applicant and the amount of funding being requested. Letters of Intent shall be emailed to the Contracts Manager, mcrane@azdes.gov no later than May 1, 2020. Letters of Intent shall remain confidential until final contract award.
4. **SUBMISSION OF APPLICATIONS**
   It is the responsibility of each applicant to ensure their application is electronically submitted, as one complete PDF application, to mcrane@azdes.gov by the due date and time, May 14, 2020, 3:00 PM.

   The ADDPC will not accept: Late electronic submissions, mailed or telefax copies; is not responsible for costs of developing the application; and is not responsible if any electronic copy is sent to another person other than who is designated on this grant solicitation.

5. **APPLICATION FORMAT AND CONTENT**
   The applicant shall submit one complete electronic copy, in PDF format, to mcrane@azdes.gov by the due date and time.

   The application narrative shall be typed, single-spaced with one-inch margins or wider with a 12-point using Verdana, Times New Roman or Arial font. Page numbers must be on the bottom of all pages.

   The maximum number of pages to be typed is exclusive of other required attachments and forms, is fifteen (15) pages. Legibly fill out the other required forms, and ensure proper signatures are obtained where necessary.

   Below is the order the application shall be presented in:
   A. Offeror and Acceptance Form
   B. Project Narrative (Part 3 in scope of work)
      B1. Attachment 1: Timeline/Implementation Plan of Major Activities
   C. Project Budget Summary, and Budget Narratives for Costs and Match
   D. Applicants Background Information Questionnaire
   E. Applicants Qualifications Questionnaire
   F. Personnel Qualifications Questionnaire
   G. Financial Systems Survey, any attachments if applicable
   H. Nonprofit status: 501 (c)(3) determination letter from IRS
   I. Assurances for Non-Construction Programs, if applicable
   J. Signed RFGA Amendment(s) if applicable

   **Please Note:** Failure to include the requested information and in the required format will have a negative impact on the evaluation of the application.
6. **APPLICATION OPENING**
Applications shall be opened publicly at the time and place designated on the cover page of this document. The name of each applicant may be read publicly and recorded. The evaluation documentation shall not be subject to public inspection until after Contract award.

7. **OFFER ACCEPTANCE PERIOD**
Applications shall be irrevocable for 120 days after the application due date.

8. **RESPONSIVENESS AND ACCEPTABILITY**
Applications may not be considered responsive and / or acceptable if they do not contain sufficient information to evaluate the application in accordance with the factors identified in the solicitation or other necessary application components. Necessary components include: an indication of the applicants' intent to be bound, response to the scope of work, budget information, and submission of all other required forms and attachments.

9. **EVALUATION**
The ADDPC shall ensure that the final selection for the grant awards shall be made to those whose application is determined in writing to be the most advantageous to the State based upon the evaluation criteria listed below. The evaluation criteria will include the following:

1. Meet Eligibility requirements.

2. Provide a comprehensive response to the Scope of Work, including complete responses to all questions under Part 3 – Project Narrative including a timeline of proposed activities and letters of support that demonstrate support and collaboration.

3. Experience and qualifications of the applicant and personnel in carrying out the proposed project.

4. Cost effectiveness of the project that shows reasonable and allowable costs and matching funds.

ADDPC reserves the right to consider historic information and facts, whether gained from the Offeror's application, negotiations, references, or other sources, and the views of the evaluator(s) with a prior contract or service delivery experience with any of the offeror's, while conducting the application evaluations.
10. **COMPETITIVE RANGE**
If the ADDPC determines that the number of applications that would otherwise be in the Competitive Range exceeds the number at which an efficient competition can be conducted, the ADDPC may limit the number of applications in the Competitive Range to the greatest number that will permit an efficient competition among the most highly advantageous applications.

11. **CLARIFICATIONS**
ADDPC may request oral or written clarifications, including demonstrations or questions and answers, for the sole purpose of information gathering or of eliminating minor informalities or correcting nonjudgmental mistakes in applications. Clarifications shall not otherwise afford the applicant the opportunity to alter or change its application.

12. **NEGOTIATIONS**
ADDPC reserves the option to conduct negotiations with applicants who submit applications determined to be in the competitive range or reasonably susceptible of being selected for award. If negotiations are conducted, ADDPC shall issue a written request for final application revisions. Award may be made without negotiations, therefore, applications shall be submitted complete and on most favorable terms.

13. **EXCEPTIONS**
Applicants shall indicate any exceptions they have taken to the instructions, terms, conditions or other requirements of the solicitation. Exceptions may result in the rejection of the application.

14. **CONFIDENTIAL INFORMATION**
If a person believes that any portion of the application, offer, specification, protest, or correspondence contains information that should be withheld, then the ADDPC shall be so advised in writing (Budget is not confidential and will not be withheld). Such material shall be identified as confidential wherever it appears. The State, pursuant to A.C.R.R. R2-7-104, shall review all requests for confidentiality and provide a written determination. If the confidential request is denied, such information shall be disclosed as public information, unless the person utilizes the "Protest" provision as noted in §§ 41-2611 through 41-2616.

15. **DEFINITION OF TERMS**
A. Shall, Must: Indicates a mandatory requirement. Failure to meet these mandatory requirements may result in the rejection of an application as non-responsive.
B. Should: Indicates something that is recommended but not mandatory. If the applicant fails to provide recommended information, the State may, at its sole option, ask the applicant to provide the information or evaluate the application without the information.

C. May: Indicates something that is not mandatory but permissible.

16. AVAILABILITY OF FUNDS FOR THE NEXT STATE FISCAL YEAR
Funds may not presently be available for performance under this solicitation beyond the current state fiscal year. If funds are not allocated and available for the continuance of this solicitation, and any subsequent contracts, they may be terminated by the ADDPC at the end of the period for which funds are available.

17. ADDPC’S RIGHTS
Notwithstanding any other provision of the RFGA, ADDPC expressly reserves the right to:

A. Waive any immaterial defect or informality;

B. Reject any or all applications, or portions thereof; or

C. Reissue the RFGA.